



Receipt

Docket No. 99-109  
PATENT

CERTIFICATE OF MAILING

hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on 2-25-01

BY:

Renee Samanta

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Diamond, et al.

Serial No.: 09/596,851

Filed: 6/19/00

For: High Throughput Screen For Identifying  
Polymerization Catalyst From Potential  
Catalysts

Group Art Unit: Unknown

Examiner: Unknown

Assistant Commissioner for Patents  
Washington, D.C. 20231

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JUL 02 2001

TC 1700

TRANSMITTAL LETTER

Sir:

Transmitted herewith (check all that apply):

- |  |  |
|--|--|
| <input type="checkbox"/> Preliminary Amendment             | <input type="checkbox"/> Information Disclosure Statement                |
| <input type="checkbox"/> Response/Amendment                | <input type="checkbox"/> Petition Under 37 CFR 1.97(d)(2)                |
| <input type="checkbox"/> Response/Amendment After Final    | <input type="checkbox"/> Formal Drawings                                 |
| <input type="checkbox"/> Supplemental Amendment            | <input type="checkbox"/> Declaration Under 37 CFR 1.131                  |
| <input type="checkbox"/> Affidavits/Declarations           | <input type="checkbox"/> Declaration Under 37 CFR 1.132                  |
| <input type="checkbox"/> Declaration and Power of Attorney | <input type="checkbox"/> Terminal Disclaimer                             |
| <input type="checkbox"/> Supplemental Declaration          | <input type="checkbox"/> Small Entity Statement                          |
| <input type="checkbox"/> Associate Power of Attorney       | <input checked="" type="checkbox"/> Request for Corrected Filing Receipt |
| <input type="checkbox"/> Change of Correspondence Address  | <input type="checkbox"/> Appeal  |
| <input type="checkbox"/> Associate Power of Attorney       | <input type="checkbox"/> Petition  |
| <input type="checkbox"/> Response to Missing Parts         | <input type="checkbox"/> Status Letter                                   |

to be filed in the above-identified patent application.

☒ No fee is required.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 50-0496.

A duplicate copy of this Transmittal Letter is transmitted herewith.



Date: 22 Feb 01

Symyx Technologies, Inc.  
3100 Central Expressway  
Santa Clara, California 95051  
Tel.: (408) 764-2000  
Fax: (408) 492-9176

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Ronald A. Krasnow".

Ronald A. Krasnow  
Reg. No. 33,321  
Attorney for Applicant(s)

## CERTIFICATE OF MAILING

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BY:

Renee Lamantia

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Diamond et al.

Serial No.: 09/596,851

Group Art Unit: 1723

Filed: 06/19/2000

For: HIGH THROUGHPUT SCREEN  
FOR IDENTIFYING  
POLYMERIZATION CATALYST  
FROM POTENTIAL CATALYSTS

Examiner: Unknown

Assistant Commissioner for Patents  
Washington, DC 20231  
ATTN: Office of Initial Patent Examination's  
Customer Service Center

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TC 1706

**2nd REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

Dear Sir:

Applicants have received three filing receipts for this application. The original filing receipt (received Aug 18, 2000, copy enclosed) is correct. The others (received November 7, 2000 and February 20, 2001) have errors. Please make the corrections as indicated below.

There is an error with respect to Applicants, which should be as follows:

Gary M. Diamond, San Jose, CA.  
Christopher Goh, San Francisco, **CA.** (California)  
Margarete K. Leclerc, Santa Clara, CA.  
Vince Murphy, Campbell, CA.  
Howard W. Turner, Campbell, CA.

In addition, there is an error with respect to foreign applications as indicated on the filing receipt. No foreign applications have been filed for this application. The filing receipt should read as follows:

Foreign Applications  
**NONE**

Copies of all three filing receipts received are enclosed for your convenience. Please note that Filing Receipt labeled # 1 (which we received on August 18, 2000) is correct. Please make any and all corrections as needed.



228607  
Date

Respectfully submitted,

Ronald A. Krasnow

Ronald A. Krasnow  
Registration No. 33,321  
Attorney for Applicant(s)

Symyx Technologies, Inc.  
3100 Central Expressway  
Santa Clara, CA 95051  
Tel: (408) 764-2000  
Fax: (408) 492-9176

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**TC 1700**

## FILING RECEIPT



\*OC00000005309075\*



# 1

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/596,851	06/19/2000	1723	1884	99-109	8	69	7

Ronald A Krasnow Esq  
Symyx Technologies Inc  
3100 Central Expressway  
Santa Clara, CA 95051**RECEIVED**

AUG 18 2000

Symyx Technologies  
Legal Department

Date Mailed: 08/09/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gary M. Diamond, San Jose, CA ;  
Christopher Goh, San Francisco, CA ;  
Margarete K. Leclerc, Santa Clara, CA ;  
Vince Murphy, Campbell, CA ;  
Howard W. Turner, Campbell, CA ;**RECEIVED**

JUL 02 2001

TC 1700

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 08/09/2000

## Title

High throughput screen for identifying polymerization catalysts from potential catalysts

## Preliminary Class

210

Data entry by : FISSHA, NEBIAT

Team : OIPE

Date: 08/09/2000





#2

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NOV -7 2000

UNITED STATES PATENT AND TRADEMARK OFFICE

Symyx Technologies

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/596,851	06/19/2000	1723	1949	99-109	8	69	7

Ronald A Krasnow Esq  
Symyx Technologies Inc  
3100 Central Expressway  
Santa Clara, CA 95051

## FILING RECEIPT



\*OC000000005523210\*

Date Mailed: 11/01/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Hironori Kii, Soraku-gun, JAPAN;  
Nobuki Hiramatsu, Soraku-gun, JAPAN;

Gary M. Diamond, San Jose, CA  
Christopher Goh, San Francisco CA  
Margareta K. Lederc, Santa Clara, CA  
Vince Murphy, Campbell, CA  
Howard W. Turner, Campbell, CA

## Continuing Data as Claimed by Applicant

## Foreign Applications

NONE ~~JAPAN P11-120768 04/27/1999~~

If Required, Foreign Filing License Granted 08/09/2000

## Title

High throughput screen for identifying polymerization catalysts from potential catalysts

## Preliminary Class

210

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JUL 02 2001

TC 1700

Data entry by : KINARD, VALERIE

Team : OIPE

Date: 11/01/2000





# 3

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/596,851	06/19/2000	1723	1949	99-109	8	69	7

Ronald A Krasnow Esq  
Symyx Technologies Inc  
3100 Central Expressway  
Santa Clara, CA 95051

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## CORRECTED FILING RECEIPT



\*OC000000005748613\*

FEB 20 2001

Date Mailed: 02/08/2001

Symyx Technologies  
Legal Department

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Gary M. Diamond, San Jose, CA ;  
Christopher Goh, San Francisco, CANADA, CALIFORNIA  
Margarete (K) Leclerc, Santa Clara, CA ;  
Vince Murphy, Campbell, CA ;  
Howard W. Turner, Campbell, CA ;

(CAPS) K.

RECEIVED

JUL 02 2001

TC 1700

## Continuing Data as Claimed by Applicant

## Foreign Applications

~~JAPAN P11-120768 04/27/1999 DELETE~~

If Required, Foreign Filing License Granted 08/09/2000

## Title

High throughput screen for identifying polymerization catalysts from potential catalysts

## Preliminary Class

210

Data entry by : WASHINGTON, JAMES

Team : OIPE

Date: 02/08/2001

